IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Kang et al.

Application No.: To Be Assigned Filed: Concurrently Herewith

For: FERROELECTRIC MEMORY DEVICES HAVING AN EXPANDED PLATE

ELECTRODE AND METHODS FOR FABRICATING THE SAME

Date: February 26, 2004

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Robert W. Glatz

Registration No. 36,811

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Facsimile: (919) 854-1401 Customer No. 20792 In re: Kang et al.

Attorney Docket No.: 5649-1219 Filed: Concurrently Herewith

Page 2 of 2

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

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Carey Gregor

Substitute form 1449A/PTO		С	Complete if Known		
خل		Application Number	To Be Assigned		
INFORMA	TION DISCLOSURE	Filing Date	Concurrently Herewith		
STATEMENT BY APPLICANT (use as many sheets as necessary)		First Named Inventor	Kang		
		Group Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet	of	Attorney Docket Number	5649-1219		

Examiner	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited	
Initials*		Number	Kind Code (if known)	Document	Document MM-DD-YYYY	
	1.	US-5,523,964		McMillan et al.	06/04/1996	
	2.	US-5,198,994		Natori	03/30/1993	
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Examiner Initials*	Cite No.	Foreign Patent Document		ocument	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Т
		Office	Number	Kind Code (if known)	Document	MM-DD-YYYY	

OTHER NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, jo serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			Т	

Examiner Signature	Date Considered			
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.